

Company	Meeting Date	Ballot #	Resolution	Vote	Rationale	Pengana International	Pengana International	Pengana International	Pengana Ethical	Pengana High Convictions
						Fund - Ethical Opportunity	International Fund	Equities Limited (ASX: PIA)	Fund	Fund
ELECTRONIC ARTS INC.	06-Aug-2020	1a.	Election of Director: Leonard S. Coleman	F	No issues	x	x	x	x	
ELECTRONIC ARTS INC.	06-Aug-2020	1b.	Election of Director: Jay C. Hoag	F	No issues	x	x	x	x	
ELECTRONIC ARTS INC.	06-Aug-2020	1c.	Election of Director: Jeffrey T. Huber	F	No issues	x	x	x	x	
ELECTRONIC ARTS INC.	06-Aug-2020	1d.	Election of Director: Lawrence F. Probst III	F	No issues	x	x	x	x	
ELECTRONIC ARTS INC.	06-Aug-2020	1e.	Election of Director: Talbott Roche	F	No issues	x	x	x	x	
ELECTRONIC ARTS INC.	06-Aug-2020	1f.	Election of Director: Richard A. Simonson	F	No issues	x	x	x	x	
ELECTRONIC ARTS INC.	06-Aug-2020	1g.	Election of Director: Luis A. Ubinas	F	No issues	x	x	x	x	
ELECTRONIC ARTS INC.	06-Aug-2020	1h.	Election of Director: Heidi J. Ueberroth	F	No issues	x	x	x	x	
ELECTRONIC ARTS INC.	06-Aug-2020	1i.	Election of Director: Andrew Wilson	F	No issues	x	x	x	x	
ELECTRONIC ARTS INC.	06-Aug-2020	2.	Advisory vote to approve named executive officer compensation.	F	No issues	x	x	x	x	
ELECTRONIC ARTS INC.	06-Aug-2020	3.	Ratification of the appointment of KPMG LLP as our independent public registered accounting firm for the fiscal year ending March 31, 2021.	F	No issues	x	x	x	x	
ELECTRONIC ARTS INC.	06-Aug-2020	4.	To consider and vote upon a stockholder proposal, if properly presented at the Annual Meeting, on whether to allow stockholders to act by written consent.	F	No issues	x	x	x	x	
BHARTI AIRTEL LTD	31-Jul-2020	1	THE COMPOSITE SCHEME OF ARRANGEMENT BETWEEN AIRTEL, BHARTI AIRTEL SERVICES LIMITED, HUGHES COMMUNICATIONS INDIA LIMITED (NOW KNOWN AS HUGHES COMMUNICATIONS INDIA PRIVATE LIMITED) AND HCIL COMTEL LIMITED (NOW KNOWN AS HCIL COMTEL PRIVATE LIMITED) AND THEIR RESPECTIVE SHAREHOLDERS AND CREDITORS UNDER SECTIONS 230 TO 232 AND OTHER APPLICABLE PROVISIONS OF THE ACT ("SCHEME")	F	No issues	x	x	x	x	
ABIOMED, INC.	12-Aug-2020	1.	Election of Director: Dorothy E. Puhly	F	No issues	x	x	x	x	
ABIOMED, INC.	12-Aug-2020	1.	Election of Director: Paul G. Thomas	F	No issues	x	x	x	x	
ABIOMED, INC.	12-Aug-2020	1.	Election of Director: C.D. Van Gorder	F	No issues	x	x	x	x	
ABIOMED, INC.	12-Aug-2020	3.	Ratify the appointment of Deloitte & Touche LLP as our independent registered public accounting firm for the fiscal year ending March 31, 2021.	F	No issues	x	x	x	x	
ABIOMED, INC.	12-Aug-2020	2.	Approval, by non-binding advisory vote, of the compensation of our named executive officers.	F	No issues	x	x	x	x	
AON PLC	26-Aug-2020	1.	Approve the issuance of the aggregate scheme consideration pursuant to the transaction.	N	We agree that the deal will probably add some value over the longer term; however, at the price that AON is proposing to pay, we do not believe that the long-term upside is sufficient to compensate for the near-to-medium term risks that the deal will bring to the stock.	x	x	x	x	
AON PLC	26-Aug-2020	2.	Approve any motion by the chair of the Aon EGM to adjourn the Aon EGM, or any adjournments thereof, to another time and place if necessary or appropriate to solicit additional proxies if there are insufficient votes at the time of the Aon EGM to approve Proposal 1.	N	We agree that the deal will probably add some value over the longer term; however, at the price that AON is proposing to pay, we do not believe that the long-term upside is sufficient to compensate for the near-to-medium term risks that the deal will bring to the stock.	x	x	x	x	

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AXOVANT GENE THERAPIES LTD.	24-Sep-2020	2.	To ratify the selection by the Audit Committee of the Board of Directors of Ernst & Young LLP as our independent registered public accounting firm for our fiscal year ending March 31, 2021, to appoint Ernst & Young LLP as our auditor for statutory purposes under the Bermuda Companies Act 1981, as amended, for our fiscal year ending March 31, 2021 and to authorize the Board of Directors, through the Audit Committee, to set the remuneration for Ernst & Young LLP as our auditor for our fiscal year ending March 31, 2021.	F	No issues					x
AXOVANT GENE THERAPIES LTD.	24-Sep-2020	1A.	Election of Director: Frank Torti, M.D.	F	No issues					x
AXOVANT GENE THERAPIES LTD.	24-Sep-2020	1B.	Election of Director: Atul Pande, M.D.	F	No issues					x
AXOVANT GENE THERAPIES LTD.	24-Sep-2020	1C.	Election of Director: Pavan Cheruvu, M.D.	F	No issues					x
AXOVANT GENE THERAPIES LTD.	24-Sep-2020	1D.	Election of Director: Berndt Modig	F	No issues					x
AXOVANT GENE THERAPIES LTD.	24-Sep-2020	1E.	Election of Director: Senthil Sundaram	F	No issues					x
AXOVANT GENE THERAPIES LTD.	24-Sep-2020	1F.	Election of Director: Eric Venker, M.D., Pharm.D.	F	No issues					x
HOULIHAN LOKEY, INC.	24-Sep-2020	1.	Election of Director: Irwin N. Gold	F	No issues	x	x	x	x	
HOULIHAN LOKEY, INC.	24-Sep-2020	1.	Election of Director: Gillian B. Zucker	F	No issues	x	x	x	x	
HOULIHAN LOKEY, INC.	24-Sep-2020	3.	To ratify the appointment of KPMG LLP as the Company's independent registered public accounting firm for the fiscal year ending March 31, 2021.	F	No issues	x	x	x	x	
HOULIHAN LOKEY, INC.	24-Sep-2020	2.	To approve, on an advisory basis, the compensation of our Named Executive Officers.	F	No issues	x	x	x	x	
ALIBABA GROUP HOLDING LTD	30-Sep-2020	1	AMEND AND RESTATE THE COMPANY'S MEMORANDUM AND ARTICLES OF ASSOCIATION TO EXPRESSLY PERMIT COMPLETELY VIRTUAL SHAREHOLDERS' MEETINGS AND REFLECT SUCH UPDATES AS DETAILED IN THE PROXY STATEMENT AND SET FORTH IN EXHIBIT A THERETO	F	No issues	x	x	x	x	
ALIBABA GROUP HOLDING LTD	30-Sep-2020	2.1	ELECT THE FOLLOWING DIRECTOR NOMINEE TO SERVE ON THE BOARD OF DIRECTORS: MAGGIE WEI WU	F	No issues	x	x	x	x	
ALIBABA GROUP HOLDING LTD	30-Sep-2020	2.2	ELECT THE FOLLOWING DIRECTOR NOMINEE TO SERVE ON THE BOARD OF DIRECTORS: KABIR MISRA	F	No issues	x	x	x	x	
ALIBABA GROUP HOLDING LTD	30-Sep-2020	2.3	ELECT THE FOLLOWING DIRECTOR NOMINEE TO SERVE ON THE BOARD OF DIRECTORS: WALTER TEH MING KWAIK	F	No issues	x	x	x	x	
ALIBABA GROUP HOLDING LTD	30-Sep-2020	3	RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR THE FISCAL YEAR ENDING MARCH 31, 2021	F	No issues	x	x	x	x	
BHARTI INFRASTRUCTURE LTD	03-Aug-2020	1	TO RECEIVE, CONSIDER AND ADOPT THE STANDALONE AND CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY FOR THE FINANCIAL YEAR ENDED MARCH 31, 2020	F	No issues	x	x	x	x	

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BHARTI INFRA TEL LTD	03-Aug-2020	2	TO CONFIRM INTERIM DIVIDENDS: RESOLVED THAT FIRST INTERIM DIVIDEND OF INR 3.65/- PER EQUITY SHARE OF INR 10/- EACH FULLY PAID UP, PAID TO THE MEMBERS FOR THE FINANCIAL YEAR 2019-2020, AS PER THE RESOLUTION PASSED BY THE BOARD OF DIRECTORS IN ITS MEETING HELD ON AUGUST 12, 2019 BE AND IS HEREBY CONFIRMED. RESOLVED FURTHER THAT THE SECOND INTERIM DIVIDEND OF INR 2.75/- PER EQUITY SHARE OF INR 10/- EACH FULLY PAID UP, PAID TO THE MEMBERS FOR THE FINANCIAL YEAR 2019-2020, AS PER THE RESOLUTION PASSED BY THE BOARD OF DIRECTORS IN ITS MEETING HELD ON DECEMBER 10, 2019 BE AND IS HEREBY CONFIRMED. RESOLVED FURTHER THAT THE THIRD INTERIM DIVIDEND OF INR 4.10/- PER EQUITY SHARE OF INR 10/- EACH FULLY PAID UP, PAID TO THE MEMBERS FOR THE FINANCIAL YEAR 2019-2020, AS PER THE RESOLUTION PASSED BY THE BOARD OF DIRECTORS IN ITS MEETING HELD ON APRIL 23, 2020 BE AND IS HEREBY CONFIRMED	F	No issues	x	x	x	x	
BHARTI INFRA TEL LTD	03-Aug-2020	3	TO RE-APPOINT MR. RAJAN BHARTI MITTAL (DIN: 00028016) AS A DIRECTOR LIABLE TO RETIRE BY ROTATION	F	No issues	x	x	x	x	
BHARTI INFRA TEL LTD	03-Aug-2020	4	TO RE-APPOINT MR. D S RAWAT (DIN: 06798626) AS MANAGING DIRECTOR & CEO OF THE COMPANY	F	No issues	x	x	x	x	
BHARTI INFRA TEL LTD	03-Aug-2020	5	TO RE-APPOINT MR. RAJINDER PAL SINGH (DIN: 02943155) AS AN INDEPENDENT DIRECTOR OF THE COMPANY	F	No issues	x	x	x	x	
BHARTI AIRTEL LTD	18-Aug-2020	1	TO RECEIVE, CONSIDER AND ADOPT THE AUDITED STANDALONE AND CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY FOR THE FINANCIAL YEAR ENDED MARCH 31, 2020 TOGETHER WITH THE REPORTS OF THE AUDITORS THEREON AND OF THE BOARD OF DIRECTORS THEREON	F	No issues	x	x	x	x	
BHARTI AIRTEL LTD	18-Aug-2020	2	DECLARATION OF FINAL DIVIDEND ON EQUITY SHARES: RS. 2/- PER EQUITY SHARE OF RS. 5/- EACH FULLY PAID, FOR THE FINANCIAL YEAR 2019-20	F	No issues	x	x	x	x	
BHARTI AIRTEL LTD	18-Aug-2020	3	RE-APPOINTMENT OF MR. GOPAL VITTAL AS A DIRECTOR LIABLE TO RETIRE BY ROTATION	F	No issues	x	x	x	x	
BHARTI AIRTEL LTD	18-Aug-2020	4	RE-APPOINTMENT OF MR. SHISHIR PRIYADARSHI AS AN INDEPENDENT DIRECTOR	F	No issues	x	x	x	x	
BHARTI AIRTEL LTD	18-Aug-2020	5	RATIFICATION OF REMUNERATION TO BE PAID TO SANJAY GUPTA & ASSOCIATES, COST ACCOUNTANTS, COST AUDITORS OF THE COMPANY FOR THE FY 2020-21	F	No issues	x	x	x	x	
LUMENTUM HOLDINGS INC.	19-Nov-2020	1A.	Election of Director: Penelope A. Herscher	F	No issues	x	x	x	x	x
LUMENTUM HOLDINGS INC.	19-Nov-2020	1B.	Election of Director: Harold L. Covert	F	No issues	x	x	x	x	x
LUMENTUM HOLDINGS INC.	19-Nov-2020	1C.	Election of Director: Julia S. Johnson	F	No issues	x	x	x	x	x
LUMENTUM HOLDINGS INC.	19-Nov-2020	1D.	Election of Director: Brian J. Lillie	F	No issues	x	x	x	x	x
LUMENTUM HOLDINGS INC.	19-Nov-2020	1E.	Election of Director: Alan S. Lowe	F	No issues	x	x	x	x	x
LUMENTUM HOLDINGS INC.	19-Nov-2020	1F.	Election of Director: Ian S. Small	F	No issues	x	x	x	x	x
LUMENTUM HOLDINGS INC.	19-Nov-2020	1G.	Election of Director: Janet S. Wong	F	No issues	x	x	x	x	x
LUMENTUM HOLDINGS INC.	19-Nov-2020	2.	To approve, on a non-binding advisory basis, the compensation of our named executive officers.	F	No issues	x	x	x	x	x
LUMENTUM HOLDINGS INC.	19-Nov-2020	3.	To ratify the appointment of Deloitte & Touche LLP as our independent registered public accounting firm for the fiscal year ending July 3, 2021.	F	No issues	x	x	x	x	x

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						International Fund - Ethical Opportunity	International Fund	International Equities Limited (ASX: PIA)	Ethical Fund	High Convictions Fund
GENETIC SIGNATURES LTD	20-Nov-2020	1	RE-ELECTION OF DR NICK SAMARAS AS A DIRECTOR	F	No issues					x
GENETIC SIGNATURES LTD	20-Nov-2020	2	ADOPTION OF REMUNERATION REPORT	F	No issues					x
GENETIC SIGNATURES LTD	20-Nov-2020	3	CHANGE OF AUDITOR: BDO AUDIT PTY LTD	F	No issues					x
GENETIC SIGNATURES LTD	20-Nov-2020	4	GRANT OF OPTIONS TO MANAGING DIRECTOR - DR JOHN MELKI	F	No issues					x
GENETIC SIGNATURES LTD	20-Nov-2020	5	INCREASE AGGREGATE NON-EXECUTIVE DIRECTOR FEE POOL	F	No issues					x
MAX STOCK LTD.	23-Nov-2020	1	ELECT GUZA PERETS AS EXTERNAL DIRECTOR	F	No issues					x
MAX STOCK LTD.	23-Nov-2020	2	ELECT EITAN MICHAEL STOLLER AS EXTERNAL DIRECTOR	F	No issues					x
MAX STOCK LTD.	23-Nov-2020	3	ELECT OREN ELAZRA AS DIRECTOR	F	No issues					x
MAX STOCK LTD.	23-Nov-2020	4	ELECT SUSAN MAZAWI AS DIRECTOR	F	No issues					x
MEDTRONIC PLC	11-Dec-2020	1A.	Election of Director: Richard H. Anderson	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	1B.	Election of Director: Craig Arnold	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	1C.	Election of Director: Scott C. Donnelly	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	1D.	Election of Director: Andrea J. Goldsmith, Ph.D.	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	1E.	Election of Director: Randall J. Hogan, III	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	1F.	Election of Director: Michael O. Leavitt	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	1G.	Election of Director: James T. Lenehan	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	1H.	Election of Director: Kevin E. Lofton	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	1I.	Election of Director: Geoffrey S. Martha	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	1J.	Election of Director: Elizabeth G. Nabel, M.D.	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	1K.	Election of Director: Denise M. O'Leary	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	1L.	Election of Director: Kendall J. Powell	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	2.	To ratify, in a non-binding vote, the appointment of PricewaterhouseCoopers LLP as the Company's independent auditor for fiscal year 2021 and to authorize, in a binding vote, the Board of Directors, acting through the Audit Committee, to set the auditor's remuneration.	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	3.	To approve, in a non-binding advisory vote, named executive officer compensation (a "Say-on-Pay" vote).	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	4.	To renew the Board's authority to issue shares.	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	5.	To renew the Board's authority to opt out of pre-emption rights.	F	No issues	x	x	x	x	
MEDTRONIC PLC	11-Dec-2020	6.	Authorizing the Company and any subsidiary of the Company to make overseas market purchases of Medtronic ordinary shares.	F	No issues	x	x	x	x	
MAX STOCK LTD.	21-Dec-2020	1	APPROVE GRANT OF UNREGISTERED OPTIONS TO PURCHASE ORDINARY SHARES TO SHLOMO ZOHAR, DIRECTOR	F	No issues					x